



MOST IMPORTANT DOCUMENT (MID)

To,
The Branch Head
IndusInd Bank Ltd.

Date:

D	D	M	M	Y	Y	Y	Y
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_____ Branch

1 st Holder Name:	_____																																	
Contact Person (Non-Individuals):	_____																																	
Contact Number:	ISD Code	STD Code		Number																														
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I/We confirm that:

- 1) I am investing to meet following investment objective (tick whichever applicable)
 Retirement Saving Future Family Needs.
- 2) My current Asset Allocation stands at ___% Equity ___% Debt ___% Real Estate ___% Others. I/We understand that the choice of my schemes will change my asset allocation, which may or may not be suitable to my risk profile.
- 3) IndusInd Bank is an "AMFI-registered Mutual Fund Distributor", wherein the Bank distributes products of various Asset Management companies. The initial registration date for ARN-0633 is 1st Jan 2003 and the current validity of the ARN is 1st Jan 2025. IndusInd Bank is paid commissions for investment made under broker code ARN-0633.
- 4) I/We have read and understood the product literature comprising of offer document/disclosure document/Private Placement Memorandum (PPM)/Key Information Memorandum, and other related documents as would be provided by the products provider to the Bank, in respect of the Portfolio Management Services/Structured products/Alternate Investment Funds/Mutual Funds/NCD's/Bonds <Strike out whichever is not applicable>.
- 5) **For 65 year & Above:** I/we am aware that Close Ended Mutual Fund Schemes, ELSS & Alternate Investment Fund (AIF) have features, investment risks and lock-in period which may not be suitable for me. However, I have chosen to invest in the scheme/s mentioned below being fully aware of these aspects & have signed additional documents to this effect.
- 6) I/We have been informed about the key features and risk factors of the scheme(s)/product(s) pertaining to the various investment services distributed/referred by the Bank. I/We agree to abide by and be bound by the terms, conditions rules and regulations thereof.
- 7) I/We further confirm that any transaction I/We have decided to make, is being made at my/our own risk having read and understood all relevant product literature. The Principal investment and or the returns are NOT guaranteed. Participation is only on voluntary basis; bank shall not be liable or responsible for any losses or shortfall resulting from investment in any product.
- 8) I am/We are fully aware that my/our investment does not amount to any form of Bank deposit. I/We will not hold the Bank responsible for any losses that I/We may suffer in connection with my/our selection of investing in various Investment Services and/or Products. Any sale or redemption in part or in full, prior to the maturity of the fund will not be possible.
- 9) Failure to pay the AIF drawdown amounts within the permitted time may result in being classified as a defaulter, applicable penalties, suspension of some or all rights in units etc.
- 10) The investment decisions are solely at my/our discretion. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We have not relied upon or am/are influenced by any suggestions/recommendations/investment advice by the Bank and/or any of its employees, as regards the merits and/or various Investment Services and/or Products.
- 11) I am/We are aware that mere execution of the documents such as application forms/agreements for availing of the above mentioned Investment Services and/or Products does not guarantee allotment. In the event of delay in allotment or rejection of my/our application form by the product or service provider for any reason whatsoever I/We shall not hold the Bank responsible for the same.
- 12) I am/We are aware that investment made in the various Investment Services and/or Products is subject to risk and that there is no guarantee that the original amount invested will be protected. The value of investment held in the various Investment Services and/or Products is likely to fluctuate depending upon fluctuations in the financial/capital markets.
- 13) Bank is not authorized and does not provide income tax or other tax related advice. Investors are requested to consult their tax advisors for any details or clarifications required.
- 14) I/We hereby declare that the amount being invested by me/us in the scheme/s as offered by the product or service provider is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any status or legislation or any other applicable laws or any Notifications, Directions issued by any government or statutory authority from time to time.
- 15) I/We confirm that I/We understand that the Bank makes no representation as to the accuracy, completeness or reliability of any information contained in the product literature in respect of the various Investment Services and/or Products as provided by the financial services and/or products provider.
- 16) I/We confirm that the Bank has disclosed to me the information pertaining to the investments chosen to be made by me/us in the various Investment Services.
- 17) I/We confirm that the Bank has disclosed to me/us all the commissions (in the form of trail commission or any other mode) receivable by the Bank as mentioned in the Schedule hereunder for the various Investment Services and/or Products referred by the Bank.
- 18) I/We understand that for the schemes mentioned in Schedule I, appropriate documents ("documents") are required to carry out KYC (Know Your Customer) checks as per statutory norms. I/We understand that as a part of the mandated process, statutory acceptable identity proofs and other mandated documents are to be provided and I/we undertake to provide the same as and when so required and requested by the Bank. I/We understand and agree to co-operate with the Bank for compliance of KYC and other statutory obligations as per requirements stipulated by product or service provider.

SCHEDULE I

Disclosure on Commission received By IndusInd Bank for various Mutual Fund Schemes*	
Scheme Types	Trail Commission p.a.
Equity & Hybrid Funds	0.10% - 2.00%
Debt & Conservative Hybrid Fund	0.01% - 1.50%
Liquid & Overnight Fund	0.01% - 0.30%
Solution Oriented & Other Mutual Fund	0.02% - 1.50%
Bonds/NCDs/SGBs/Others	0.00% - 1.00% Upfront
Referral Fee received by IndusInd Bank for referring PMS & AIF Schemes*	
Portfolio Management Services (PMS)	0.50% - 2.00% Trail p.a.
Alternative Investment Fund (AIF)	0.10% - 2.00% Trail p.a. and/or upfront up to 1% (For Cat II only)

*Taxes as Applicable

Note:

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- 2) Regular plans involve payment of commission to MFDs.
- 3) As per SEBI circular no. Cir/IMD/DF/13/2011 dated August 22, 2011 Mutual Funds are allowed to pay transaction charge to distributor per subscription of ₹10,000 & above. IndusInd Bank has "Opted Out" of this. Hence no such charge will be levied by Mutual Fund on the investment amount.
- 4) The above will be updated on best effort basis and subject to change without any prior consent and at the sole discretion between the AMC and the Bank.
- 5) For further detail commission structure, please contact our nearest branch or call your relationship manager.
- 6) IndusInd Bank acts as referrer for Portfolio Management Service (PMS) & Alternative Investment Funds (AIF) and is providing purely a referral service strictly on a non-risk participation basis.

Date of Investment	Scheme Name	Amount Invested

Investor Name	Date of Birth								Signature
1 st Holder	D	D	M	M	Y	Y	Y	Y	
2 nd Holder	D	D	M	M	Y	Y	Y	Y	
3 rd Holder	D	D	M	M	Y	Y	Y	Y	

FOR INTERNAL USE ONLY (Not to be filled by the client): Business Solicited By

Employee Name			
Employee Code		EUIN No.	
Signature	(to be signed in presence of Branch Manager)		